

1 **Title:** HLA-DQB1\*0301 in Bullous Pemphigoid and Pemphigus Vulgaris: A Meta-Analysis

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5 **Discussion Points:**

- 6 1. Is it possible that the same Human Leukocyte Antigen (HLA) may predispose to one blistering skin  
7 condition, yet protect from another? In this meta-analysis, Bullous Pemphigoid (BP) and Pemphigus  
8 Vulgaris (PV) are examined to determine how HLA's affect pathology development.  
9 2. Through statistical analysis, those with HLA-DQB1\*0301 had increased odds of BP yet decreased odds  
10 of PV. How this HLA can protect and simultaneously predispose someone to blistering conditions is a  
11 question this paper poses.  
12 3. The diverse HLA's we inherent play a vital role in autoimmunity, yet how they function in skin conditions  
13 continues to pose questions. This meta-analysis points to evidence that one HLA is both protective and  
14 deleterious depending on the blistering skin condition examined.  
15 4. Analyzing HLA-DQB1\*0301 across different ethnic groups, this meta-analysis proposes that the HLA  
16 may serve a protective role in PV while possibly increasing odds of BP despite both being similar  
17 blistering skin conditions.  
18

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40

1 **ABSTRACT.**

2

3 **Background:** The linkage of HLA-DQB1\*0301 to autoimmune disorders is becoming more common in  
4 literature. Despite bullous pemphigoid (BP) and pemphigus vulgaris (PV) both having similar symptoms as  
5 blistering skin conditions, research has shown different relationships with HLAs.

6

7 **Methods:** In this systematic review, HLA-DQB1\*0301 and the odds of developing BP and PV were explored.  
8 Google Scholar and Pubmed were consulted, and articles were included if living subjects were used, odds  
9 ratio was available or could be ascertained from the study, and if it was not a meta-analysis of other  
10 researcher's works. MetaXL software was used to generate data for analysis and a forest plot was generated  
11 for each. Nine studies conducted between 1996 and 2021 met study selection criteria for the BP HLA-  
12 DQB1\*0301 meta-analysis (1,340 patients and 6,673 controls) and five studies (247 patients and 2,435  
13 controls) for PV.

14

15 **Results:** HLA-DQB1\*0301 increased the odds of developing BP (OR= 1.64, 95% CI [1.44, 1.87], I<sup>2</sup>= 0%) yet  
16 decreased odds of PV (OR= 0.60, 95% CI [0.40, 0.89], I<sup>2</sup>= 34%).

17

18 **Conclusion:** Results suggest HLA-DQB1\*0301 may serve opposite roles in BP and PV despite similarity in  
19 symptoms, finding higher odds for developing BP versus lower odds for developing PV. Understanding this  
20 HLA's function in each requires further exploration. Limitations of the analysis included minor asymmetry in  
21 the PV Doi plot, suggesting publication bias. No funding was used; study protocol was not registered.

22

23 **Key Words:** HLA-DQB1 antigen; DQB1\*0301; Pemphigus; Pemphigoid, Bullous

24

## 1 INTRODUCTION.

2  
3 Autoimmune blistering diseases are conditions in which autoantibodies form against the dermal or  
4 subdermal layers of skin, causing damage to the skin. The most common of these conditions include bullous  
5 pemphigoid (BP) and pemphigus vulgaris (PV), with each having a different target by which autoantibodies  
6 attack despite having a similar immunologic mechanism. Both conditions occur through a T2 hypersensitivity  
7 reaction.<sup>1</sup> This reaction occurs through a series of steps: human antigens are taken up by major  
8 histocompatibility complexes (MHCs) and are incorrectly seen as foreign, antibodies are formed against  
9 normal human substances, the antibodies attach to the human antigens they recognize, and the  
10 autoantibodies attached to human antigen cause immune cells to attack the human tissue. This process  
11 revolves around human leukocyte antigens (HLA), which are variable genes encoding MHCs. With a vast  
12 variance in HLAs across populations and with humans inheriting multiple from each parent, immunity across  
13 different individuals differs greatly.

14 In BP, a T2 hypersensitivity reaction occurs where IgG anti-hemidesmosome antibodies are directed  
15 towards the hemidesmosomal proteins BPAg1 and BpAg2.<sup>2</sup> Hemidesmosomes connect cells to the basement  
16 membrane below. The result of autoantibody attack of hemidesmosomes in BP is the formation of large and  
17 rigid subepidermal blisters which rarely rupture that primarily affect palms, soles, groin, and axillae.<sup>3</sup> BP is the  
18 most common of the blistering skin conditions, with a peak incidence of >60 years of age. PV instead uses  
19 IgG anti-desmosomal antibodies which target desmoglein 3 and desmoglein 1.<sup>4</sup> Desmosomes are responsible  
20 for connecting cells in the epithelium to one another, unlike hemidesmosomes, which connect the cells to the  
21 basement membrane below. The result of the autoantibody attack in PV is the formation of smaller and fragile  
22 intraepidermal blisters that frequently rupture and crust.<sup>3</sup> These blisters primarily affect intertriginous areas,  
23 with a unique perioral involvement.

24 Understanding the specific HLAs that lead to this autoimmune process is essential to understanding  
25 BP and PV development, progression, and treatment. Presently, the combined prevalence of HLA research  
26 on BP and PV has been centered on HLA-DQB1 allele variances. HLA-DQB1 has been associated with  
27 several pathologies including susceptibility to T1D, as well as superimposed BP when T1D patients are  
28 treated with DPP4i.<sup>5-6</sup> In association with other alleles in linkage disequilibrium, it has shown to be related to  
29 rheumatoid arthritis as well as multiple sclerosis.<sup>7</sup> Research specific to HLA alleles has shown that each  
30 allele, even if similar, can have a different effect on autoimmunity development and bodily response.

31 HLA-DQB1\*0301 is one specific allele with implications to care, as it is connected to prognosis,  
32 treatment response, and even symptom profile in dermatologic conditions.<sup>8-10</sup> This is apparent with BP and  
33 PV, which are also seemingly connected to the allele.<sup>10-11</sup> With this allele being mentioned in both skin  
34 conditions as showing opposing effects of protecting versus predisposing patients to PV and BP, a meta-  
35 analysis is necessary. Through a better understanding of the effects of this specific allele, the connection  
36 between it and these conditions can further be understood and potential medical applications for immunologic  
37 methods can be deduced. This systematic review hopes to find the connection between HLA-  
38 DQB1\*0301 alleles and the odds of BP and PV to better highlight the alleles and their role in disease  
39 pathophysiology.

## 1 METHODS.

2  
3 Systemic reviews were conducted using the Preferred Reporting Items for Systematic Reviews and  
4 Meta-Analysis (PRISMA) guidelines.<sup>12</sup> Eligibility criteria for this study included a requirement that all subjects  
5 to be living and all studies to be in the English language. All included studies were required to be case control  
6 studies. Each included study required control samples and case samples to be from the same population.  
7 Case samples were required to either be diagnosed patients with bullous pemphigoid or pemphigus vulgaris  
8 to be compared. Exclusions for this study include the use of subjects that are classified as deceased, animal,  
9 or cell subjects or samples. No meta-analyses were included in this study as part of the analysis. The  
10 databases used for this meta-analysis were Google Scholar and PubMed. The search for each meta-  
11 analysis used the following exact terms: "HLA-DQB1\*0301", "HLA-DQB1\*0301 bullous pemphigoid", "HLA-  
12 DQB1\*0301 pemphigus vulgaris." The protocol was agreed upon prior to beginning the analysis consistent  
13 with the methods described here.

14 The search for articles began May 2021. The selection process was conducted through two  
15 researchers independently searching for and finding studies with the oversight of a PhD principal  
16 investigator. The search strategy was designed by the principal investigator in which two researchers collected  
17 data and sifted through search results. First, the search terms were used on the databases, followed by  
18 removal of all studies using a language other than English through search tools that are part of the databases.  
19 This was followed by compiling search results on a shared document based on the title. After this, results  
20 were filtered out if they did not meet inclusion criteria. No specific filter software was used. The variables  
21 gathered for assessment were the odds ratio, lower and upper range for confidence intervals (CI), number of  
22 patients, control group, as well as the demographic groups within the publication. If an odds ratio was not  
23 provided, but sufficient data was present, this data was used to calculate the odds ratio. All data was compiled  
24 on a shared document where either another researcher would agree to including a study or disagree with its  
25 inclusion. If disagreement occurred, the final decision was made by the principal investigator. Database  
26 search results and study evaluation for inclusion criteria are indicated in **Figure 1** and **Figure 2**.

27 Luis Furuya-Kanamori index (LFK index) was determined and a Doi plot was created for each of the  
28 analysis as a means of assessing publication bias.<sup>13</sup> Doi plots combined with LFK index allows for a  
29 quantitative and visual representation of bias. The Doi plot represents included studies in a graph form while  
30 LFK index measures the asymmetry of the created plot. Ideally, plots should show no asymmetry or minor  
31 asymmetry (a value between -1 to +1), with larger values indicating inconsistency across included studies.  
32 The inclusion of Doi plot and LFK index provides an additional layer to determine consistency of findings  
33 across studies.

34 Additionally, the NIH Quality Assessment Tool was used to evaluate individual studies included in the  
35 analysis; if a particular study was found to have a majority of the questions on the bias assessment as "no", it  
36 was specifically removed for inclusion and mentioned.<sup>14</sup> The effect size was measured using the odds ratio, no  
37 other effect sizes were included in the meta-analysis. When determining the eligibility of each study used in  
38 the meta-analysis, studies that provided enough data to calculate the effect size were included and used to  
39 calculate the odds ratio. Data collected from studies that failed to provide sufficient data to calculate effect  
40 size or those who did not meet inclusion criteria were not included in the study. Data was collected by  
41 researchers and compared; this data was then combined into a table to visually display the results of

1 individual studies. In order to synthesize results, MetaXL software was used to generate a forest plot and  
2 conduct the meta-analysis.<sup>15</sup> This analysis used the IVhet model. Heterogeneity was calculated using  
3 Cochran's Q and an  $I^2$  value. A result with a P value  $<0.05$  or an  $I^2$  value greater than or equal to 25% were  
4 significant for heterogeneity. Sensitivity testing was performed using pooled odds ratios and subgroup  
5 analysis.<sup>16</sup> To report bias assessment, literature heterogeneity was noted in the results. The odds ratio  
6 obtained from the forest plot analysis as well as its 95% confidence interval were used to evaluate the  
7 outcome in this study. All research was conducted under appropriate ethical guidelines for research set by the  
8 institution the research was performed at.

9

Accepted, in-press

## 1 RESULTS.

2  
3 Nine studies conducted between 1996 and 2021 met study selection criteria for the BP HLA-  
4 DQB1\*0301 meta-analysis.<sup>7, 17-24</sup> One study seemingly met all criteria, though due to its usage of deceased  
5 patients as a control group, was excluded due to selection criteria not allowing animals, deceased  
6 patients, or microorganisms as a studied sample for comparison.<sup>25</sup> A combined total of 1,340 patients with BP  
7 and 6,673 controls were included from the nine studies. Several different demographic groups were examined  
8 in these studies including German, Caucasian, Han Chinese, Japanese, Iranian, and Northern Chinese. For  
9 the PV analysis, five studies conducted between 1999 and 2021 met selection criteria.<sup>26-30</sup> Despite meeting  
10 most inclusion criteria, one study was removed due to its usage of animals, deceased patients, or  
11 microorganisms as a sample for comparison.<sup>31</sup> Due to exclusion criteria prohibiting past meta-analyses to be  
12 incorporated into this study's meta-analysis, an additional study was also removed.<sup>32</sup> A total of 247 PV  
13 patients and 2,435 controls were used in this five-study analysis. Demographic groups included in these  
14 studies consisted of Vietnamese, Serbian, Slovak, Venezuelan, and Italian groups.

15 Odds ratio, 95% confidence interval, size of patient sample, size of the control sample, and the group  
16 being tested were recorded for all studies used for the meta-analyses. Recorded details collected from the  
17 studies of both analyses are summarized in **Table 1**. To test for publication bias, a Doi plot was generated  
18 for each analysis. The BP analysis showed no asymmetry with an LFK index of 0.83. Minor asymmetry was  
19 found in the PV analysis, with an LFK index of -1.43.

20 To calculate a combined odds ratio for the various studies, an inverse variance heterogeneity (IVhet)  
21 model was used via MetaXL software to generate corresponding forest plots (**Figure 3**).<sup>15</sup> Odds of BP was  
22 higher given a person had HLA-DQB1\*0301 (OR= 1.64, 95% CI [1.44, 1.87], I<sup>2</sup>= 0%) while odds of PV was  
23 lower given the person had HLA-DQB1\*0301 (OR= 0.60, 95% CI [0.40, 0.89], I<sup>2</sup>= 34%). This finding suggests  
24 that HLA-DQB1\*0301 has opposite effects in each condition, increasing odds of BP while reducing odds of  
25 PV. Note that the heterogeneity of the PV analysis is above 25% and should be interpreted with a level of  
26 caution that some studies may have influenced the results (I<sup>2</sup>= 34%).

27 Sensitivity testing was performed via the exclusion of each study individually and sequentially. For the  
28 BP analysis, two studies contributed most to the pooled OR.<sup>18, 19</sup> No substantial heterogeneity result was  
29 found on analysis, and I<sup>2</sup>=0.0 for all studies analyzed for it. Regarding the PV analysis, two studies were found  
30 to most effect pooled OR.<sup>26, 28</sup> Heterogeneity testing in this case showed two values to note: Párnická et  
31 al.'s I<sup>2</sup>= 50.11 and Lombardi et al.'s I<sup>2</sup>= 49.65.<sup>27, 29</sup> Though no Q value was considered significant for these two  
32 studies, minor asymmetry found in the Doi plot was a result.



## 1 DISCUSSION.

2

3 Despite the relative similarity of BP and PV, the findings of this meta-analysis support that HLA-  
4 DQB1\*0301 has opposing effects in each condition. While it was found to increase odds of BP in those with  
5 HLA-DQB1\*0301 (OR= 1.64, 95% CI [1.44, 1.87]), there are decreased odds of PV in those with the HLA (OR=  
6 0.60, 95% CI [0.40, 0.89]). With this finding of opposing results in these conditions, a question as to why there  
7 are differing effects despite similarity in symptoms must be asked.

8 With HLA-DQB1\*0301 being more common in BP according to this study's findings, there is a need to  
9 understand what to expect this association to mean clinically. Regarding autoimmune consequence parallels in  
10 other autoimmune conditions, the DQB1\*0301 allele was found to be associated with more severe outcomes in  
11 patients with multiple sclerosis, type 1 diabetes mellitus and celiac disease.<sup>33-35</sup> Regarding  
12 DQB1\*0301 association with skin disorders, it was found to be correlated with the development of cutaneous  
13 melanoma, erythema multiforme, as well as ocular cicatricial pemphigoid.<sup>36-38</sup> Membranous pemphigoid also  
14 shows this increase as well.<sup>39</sup> It has been postulated that the HLA class II antigen presentation seen in higher  
15 amounts within keratinocytes may stimulate a T-cell inflammatory response, contributing to the increased  
16 susceptibility of skin disorders with the HLA-DQB1\*0301 allele.<sup>38</sup>

17 PV did not follow this deleterious effect, instead showing an opposite, seemingly protective role.  
18 Unfortunately, the heterogeneity of  $I^2= 34\%$  calls into question whether this finding is a result of not including a  
19 sufficient number of studies. A more global inclusion of different samples may help understand this connection  
20 more completely. Other epithelial tissues outside of blistering skin conditions have shown a potential effect,  
21 such as in gastric cancer.<sup>40</sup> Autoimmune disorders that are not skin related, such as autoimmune hepatitis,  
22 similarly show HLA-DQB1\*0301 as protective.<sup>41</sup>

23 Among multiple autoimmune diseases, there is a diverse set of HLA antigens with an association yet  
24 to be discovered. Clinically in the future, HLA antigen sequencing could perhaps be a standard of care in  
25 diagnosing new patients. This data may lead to the ability to increase the pathological predictive ability of  
26 medical geneticists in the future.

27

### 28 Strengths and Limitations

29 The meta-analysis conducted to evaluate the impact of HLA-DQB1\*0301 on the prevalence of BP and  
30 PV considered various variables and limitations of the pooled studies. However, it is important to acknowledge  
31 certain strengths and limitations of this analysis. While the meta-analysis involved a comprehensive approach  
32 by including multiple studies, no single methodology encompassed a representative global population. Instead,  
33 individual studies primarily focused on specific ethnicities, leading to variations in the significance of the results.  
34 The study utilized strict inclusion and exclusion criteria, with pre-defined methods of data utilization and data  
35 storage which would help avoid post-hoc analysis bias.

36 However, the analysis was primarily conducted by two medical student researchers with PhD oversight,  
37 and thus the study lacked a dedicated statistician. Regarding the quality of the genes analyzed, the majority of  
38 the studies concentrated solely on the effect of a single haplotype on BP and PV prevalence, with limited  
39 exploration of the effects of linkage disequilibrium or the combined impact of HLA allele frequencies on disease  
40 prevalence. Consequently, these factors impose limitations on the extent to which the current analysis can



1 accurately predict the global impact of HLA-DQB1\*0301. Furthermore, the reliance on only two broad databases  
2 restricts the generalizability and applicability of the research findings.

3 While heterogeneity was 0% for the BP comparison, it was a factor influencing the PV analysis ( $I^2=$   
4 34%), making the result of the PV analysis more challenging to interpret. Additionally, while bias assessment  
5 found the included studies to overall be well designed, there was minor asymmetry in LFK index findings for the  
6 PV analysis. Combining both heterogeneity findings and this minor asymmetry, it is evident that some of the  
7 included studies in the PV analysis may have not been as quality as to not influence findings.

8

## 9 **Conclusion**

10 Autoimmune blistering diseases, such as bullous pemphigoid (BP) and pemphigus vulgaris (PV),  
11 involve the formation of autoantibodies against the skin, leading to damage. These conditions are characterized  
12 by a T2 hypersensitivity reaction, with each condition targeting different proteins despite a similar immunologic  
13 mechanism. The human leukocyte antigen (HLA) genes, particularly HLA-DQB1\*0301 allele, have been  
14 associated with various pathologies. Understanding the role of HLA alleles is crucial for understanding the  
15 development and treatment of BP and PV. This analysis included nine studies for BP and five studies for PV  
16 which collectively showed that HLA-DQB1\*0301 allele increased the odds of BP and reduced the odds of PV.  
17 These findings suggest that HLA-DQB1\*0301 has opposing effects in these two conditions. However, caution  
18 should be exercised due to some heterogeneity in the PV analysis. Further research is needed to explore the  
19 specific mechanisms underlying these associations and their implications for the diagnosis and treatment of BP  
20 and PV.

21

1 **SUMMARY - ACCELERATING TRANSLATION**

2

3 Title: HLA-DQB1\*0301 in Bullous Pemphigoid and Pemphigus Vulgaris: A Meta-Analysis

4

5 Main problem to solve:

6 Human Leukocyte Antigen (HLA) is something which takes up parts of the environment around or inside of cells.

7 These parts, antigens, are then shown to immune cells by the HLA. Some specific types of these HLA have

8 been linked to autoimmune problems. Bullous pemphigoid (BP) and pemphigus vulgaris (PV) are two examples

9 of autoimmune blistering skin problems. These conditions both cause similar symptoms. Despite being similar,

10 research shows that different HLAs are linked to each. Some HLAs can make it more likely to get one of the

11 blistering skin problems and some HLAs can make it less likely to get one of the blistering skin problems. One

12 specific HLA, HLA-DQB1\*0301, has increased odds of certain autoimmune skin problems and has decreased

13 odds of other autoimmune skin problems.

14

15 Aim of study:

16 In this study, the odds of bullous pemphigoid and pemphigus vulgaris depending on whether a patient has

17 HLA-DQB1\*0301 is found through a meta-analysis. This is done so that the relationship between these

18 autoimmune conditions and HLA-DQB1\*0301 can be found.

19

20 Methodology:

21 In this systematic review, HLA-DQB1\*0301 and the odds of developing bullous pemphigoid and pemphigus

22 vulgaris were explored. Google Scholar and Pubmed were used for searching. Articles were used if they had

23 living subjects only, odds ratio was in the study or could be found from the study, and if the study was not a

24 meta-analysis. MetaXL software was used to make a forest plot for bullous pemphigoid and for pemphigus

25 vulgaris. Nine studies that were done between 1996 and 2021 had the required data for the bullous

26 pemphigoid HLA-DQB1\*0301 meta-analysis (1,340 patients and 6,673 controls) and five studies (247 patients

27 and 2,435 controls) had the required data for pemphigus vulgaris meta-analysis.

28

29 Results:

30 HLA-DQB1\*0301 increased the odds of developing bullous pemphigoid (OR= 1.64, 95% CI [1.44, 1.87], I<sup>2</sup>=

31 0%). HLA-DQB1\*0301 decreased the odds of pemphigus vulgaris (OR= 0.60, 95% CI [0.40, 0.89], I<sup>2</sup>= 34%).

32

33 Conclusion:

34 Results suggest that HLA-DQB1\*0301 has opposite effects in bullous pemphigoid and pemphigoid. There are

35 increased odds for getting bullous pemphigoid and lower odds for getting pemphigus vulgaris if a patient has

36 HLA-DQB1\*0301.

37

38

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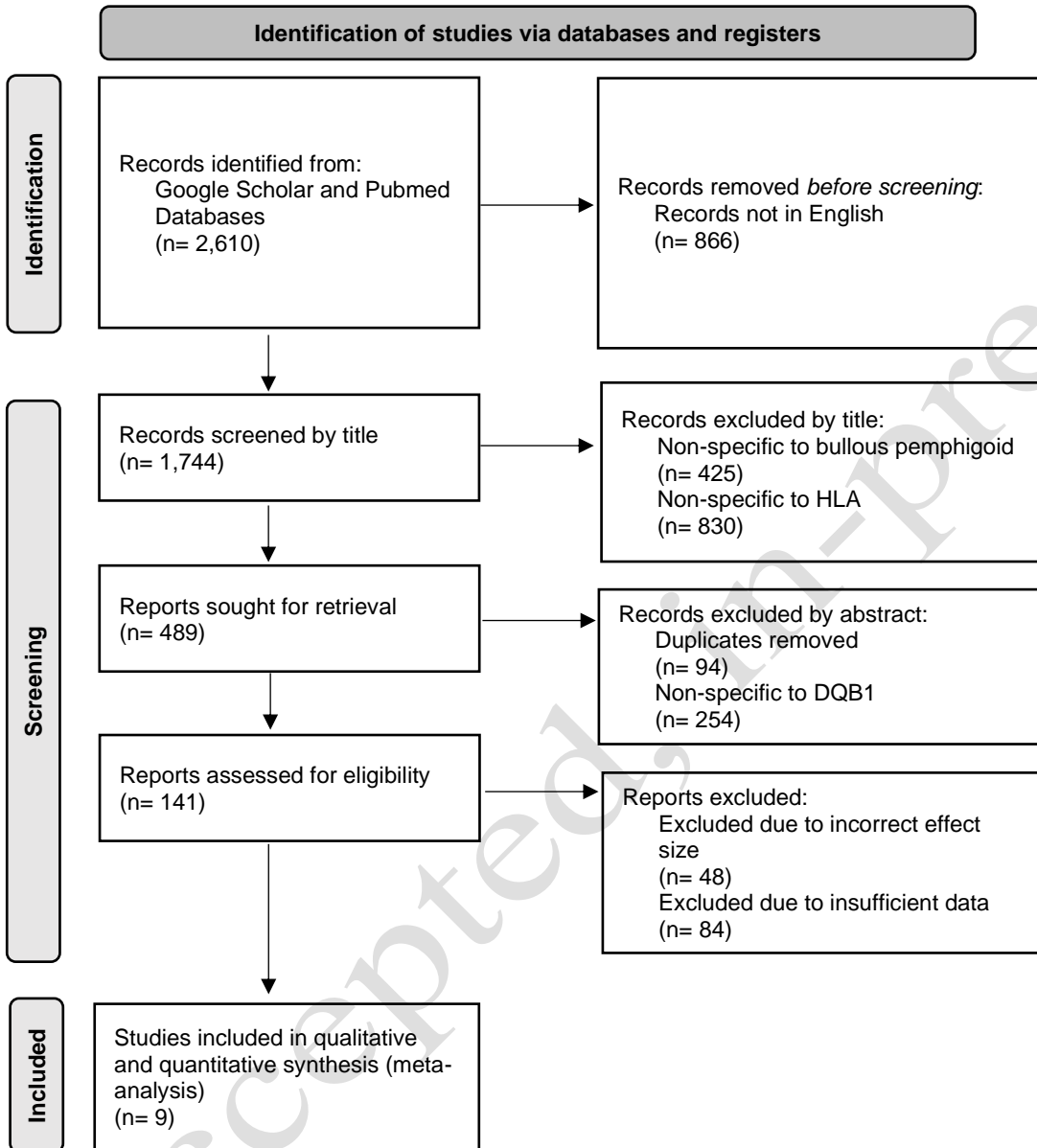
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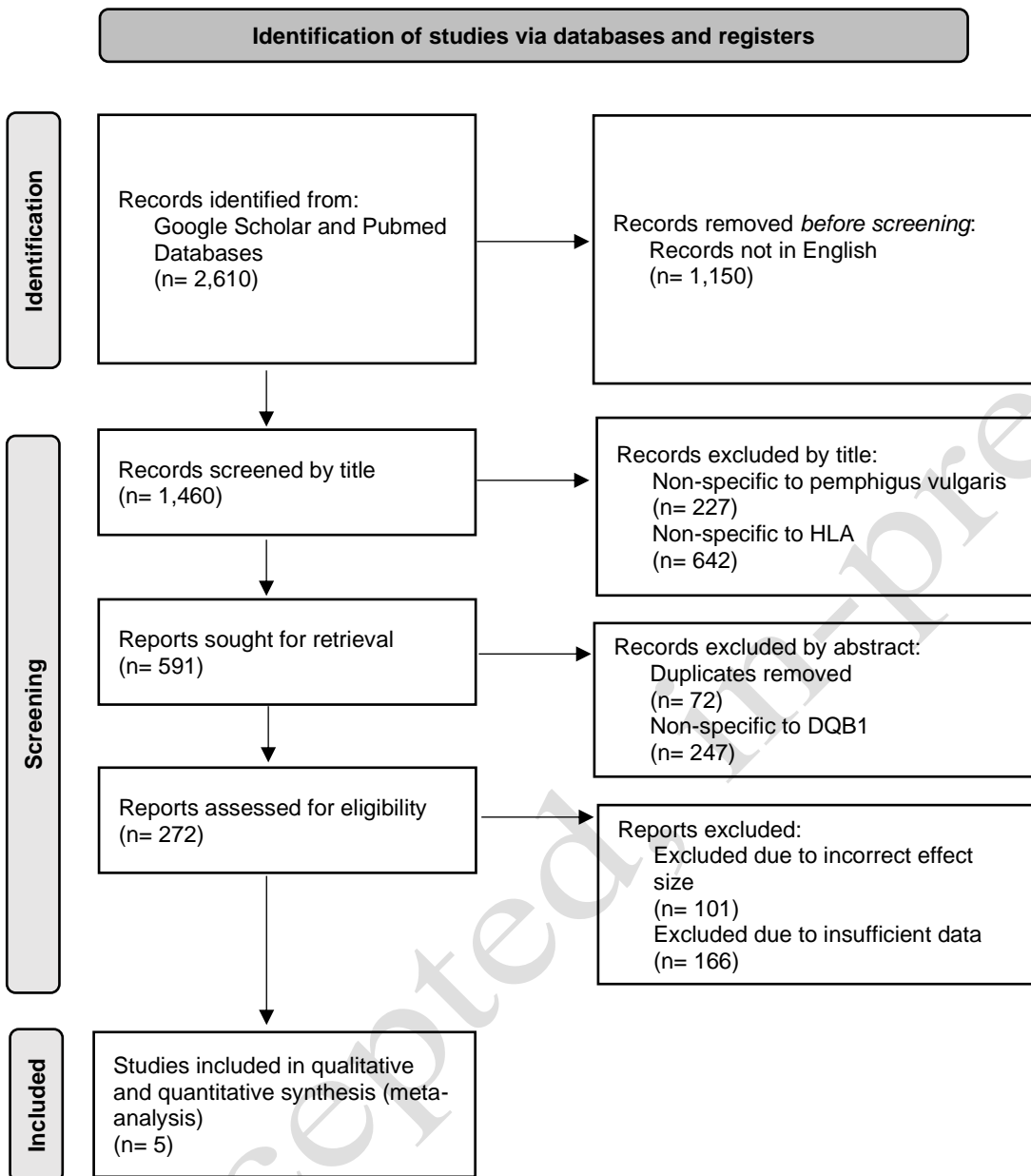
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1 **FIGURES AND TABLES.**

3 **Figure 1. PRISMA Flow Diagram for HLA-DQB1\*0301 in Bullous Pemphigoid**

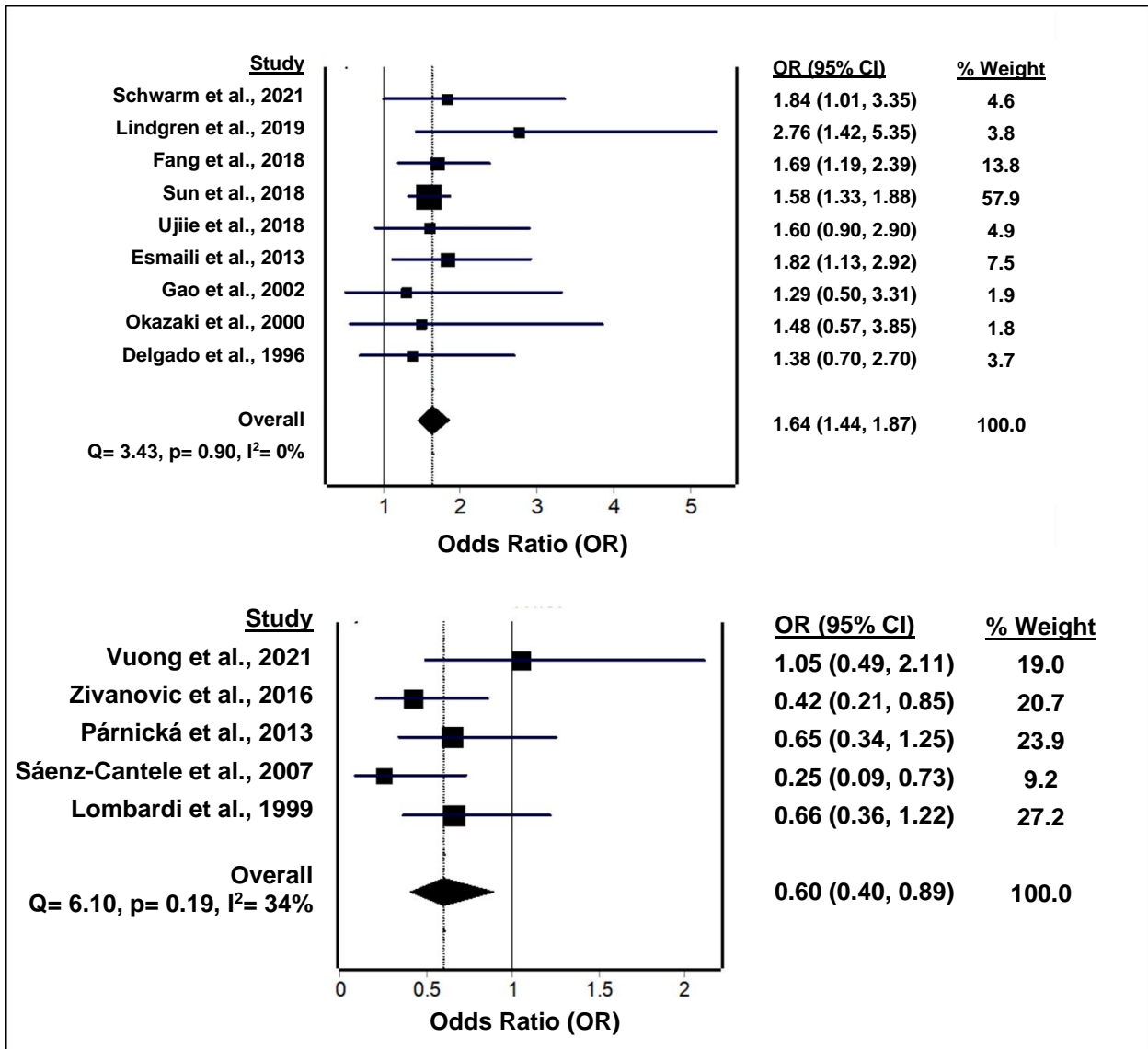


**Figure 2.** PRISMA Flow Diagram for HLA-DQB1\*0301 in Pemphigus Vulgaris





1 **Figure 3.** Forest Plot for Bullous Pemphigoid (Top) and Pemphigus Vulgaris (Bottom)



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1 **Table 1.** Characteristics of Studies of HLA-DQB1\*0301 in Bullous Pemphigoid and Pemphigus Vulgaris

Author	OR	CI lower	CI upper	Patient	Control	Group
Bullous Pemphigoid						
Schwarm et al., 2021	1.84	1.01	3.35	446	433	German
Lindgren et al., 2019	2.76	1.42	5.35	23	2991	Caucasian
Fang et al., 2018	1.69	1.19	2.39	105	420	Han Chinese
Sun et al., 2018	1.58	1.33	1.88	575	976	Han Chinese
Ujiiie et al., 2018	1.60	0.90	2.90	72	873	Japanese
Esmaili et al., 2013	1.82	1.13	2.92	50	180	Iranian
Gao et al., 2002	1.29	0.50	3.31	25	57	Chinese
Okazaki et al., 2000	1.48	0.57	3.85	23	525	Japanese
Delgado et al., 1996	1.38	0.70	2.70	21	218	Caucasian
Pemphigus Vulgaris						
Vuong et al., 2021	1.05	0.49	2.11	22	101	Vietnamese
Zivanovic et al., 2016	0.42	0.21	0.85	72	1992	Serbian
Párnická et al., 2013	0.65	0.34	1.25	43	113	Slovakian
Sáenz-Cantele et al., 2007	0.25	0.09	0.73	49	101	Venezuelan
Lombardi et al., 1999	0.66	0.36	1.22	61	128	Italian

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